



## FALSE CLAIMS ACT CERTIFICATION/ FALSE CLAIMS ACT VIOLATION HISTORY

Proposer shall provide either the certification requested below or the information requested on the next page. **Failure to certify or provide the requested information may result in a determination that the Proposer is non-responsive and City may reject the proposal on this basis. Failure to fully and accurately provide the requested certification or information may result in a determination that the Proposer is not responsible and City may reject the proposal on this basis as well.** "False Claims Act", as used herein, is defined as either or both the Federal False Claims Act, 31 U.S.C. Sections 3729 et seq., and the California False Claims Act, Government Code Sections 12650 et seq.

### FALSE CLAIMS ACT CERTIFICATION

*If the Proposer has no False Claims Act violations as defined above, complete the following:*

I, \_\_\_\_\_, am the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer").  
(Print Name of Proposing Entity)

In submitting a proposal to the City of El Monte, I hereby certify that neither Proposer nor any person who is an officer of, in a managing position with, or has an ownership interest in Proposer has been determined by a court or tribunal of competent jurisdiction to have violated the False Claims Act as defined above.

**I declare under penalty of perjury that the foregoing is true and correct.**

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

By \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)

**FALSE CLAIMS ACT VIOLATION HISTORY**

- (1) Date of Determination of Violation: \_\_\_\_\_  
\_\_\_\_\_
- (2) Identity of tribunal or court and case name or number, if any: \_\_\_\_\_  
\_\_\_\_\_
- (3) Government Contract or project involved: \_\_\_\_\_  
\_\_\_\_\_
- (4) Government agency involved: \_\_\_\_\_
- (5) Amount of fine imposed: \_\_\_\_\_  
\_\_\_\_\_
- (6) Exculpatory Information: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**DECLARATION**

I, \_\_\_\_\_, the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer")  
(Print Name of Proposing Entity)

declare under penalty of perjury that the above information is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

by \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)

**[END OF DOCUMENT]**



## DEBARMENT CERTIFICATION

Proposer shall provide the certification requested below. **Failure to provide such certification may result in a determination that the Proposer is nonresponsive. Failure to provide such certification truthfully and accurately may result in a determination that the Proposer is not responsible, and City may reject the proposal.**

I, \_\_\_\_\_, am the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer").  
(Print Name of Proposing Entity)

Proposer certifies to the best of its knowledge and belief, that it and its principals:

- (a)  Are  are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b)  Have  have not within a three-year period preceding the submission of this Proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c)  Are  are not presently indicted for or otherwise criminally charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in Paragraph (b) above; and
- (d)  Have  have not within a three-year period preceding submission of this Proposal had one or more public transactions (Federal, State or Local) terminated for cause or default.

If reorganization, management turnover, or a shift or change of principals' status occurs, written notice must be submitted within 21 days. Subsequent disclosure of unfavorable information will be subject to thorough review and remedial action. Updated versions of this certification may be requested on a routine basis

**I declare under penalty of perjury that the foregoing is true and correct.**

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

by \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)

**[END OF DOCUMENT]**



**CIVIL LITIGATION DECLARATION/  
CIVIL LITIGATION HISTORY**

Proposer shall provide either the declaration requested below or information requested on the next page. **Failure to provide such certification or information may result in a determination that the Proposer is nonresponsive. Failure to fully and accurately provide the requested certification or information may result in a determination that the Proposer is not responsible and City may reject the proposal on this basis as well.** For the five (5) years preceding the date of submittal of this Proposal, identify any civil litigation arising out of the performance of a procurement contract within the State of California in which any of the following was a named plaintiff or defendant in a lawsuit brought by or against the entity soliciting Proposals: the Proposer submitting the instant Proposal, including any person who is an officer of, or in a managing position with, or has an ownership interest in the entity submitting the Proposal. Do not include litigation which is limited solely to enforcement of mechanics' liens or stop notices. Provide on the following page labeled "Civil Litigation History Information:" (i) the name and court case identification number of each case, (ii) the jurisdiction in which it was filed, and (iii) the outcome of the litigation, e.g., whether the case is pending, a judgment was entered, a settlement was reached, or the case was dismissed.

**CIVIL LITIGATION DECLARATION**

*If the Proposer has no civil litigation history to report as described above, complete the following:*

I, \_\_\_\_\_, am the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer").  
(Print Name of Proposing Entity)

In submitting a Proposal to the City of El Monte, I hereby certify that neither Proposer nor any person who is an officer of, in a managing position with, or has an ownership interest in Proposer has been involved in civil litigation as described, above.

**I declare under penalty of perjury that the foregoing is true and correct.**

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

by \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)

**CIVIL LITIGATION HISTORY INFORMATION**

(1) Name of Case: \_\_\_\_\_

\_\_\_\_\_

Court case identification number: \_\_\_\_\_

(2) Jurisdiction in which case was filed: \_\_\_\_\_

\_\_\_\_\_

(3) Outcome of the case: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(1) Name of Case: \_\_\_\_\_

\_\_\_\_\_

Court case identification number: \_\_\_\_\_

(2) Jurisdiction in which case was filed: \_\_\_\_\_

\_\_\_\_\_

(3) Outcome of the case: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DECLARATION

I, \_\_\_\_\_, the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer")  
(Print Name of Proposing Entity)

declare under penalty of perjury that the above information is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

by \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)

**[END OF DOCUMENT]**



## CONFLICT OF INTEREST CERTIFICATION/DISCLOSURE

Proposer shall provide either the certification requested below, or information requested on the next page. **Failure to provide such certification or information may result in a determination that the Proposer is nonresponsive. Failure to provide the requested certification or information fully and accurately may result in a determination that the Proposer is not responsible, and City may reject the proposal.**

### CONFLICT OF INTEREST CERTIFICATION

I, \_\_\_\_\_, am the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer").  
(Print Name of Proposing Entity)

- I hereby affirm that no conflict of interest either real or potential exists as to any matter which will be entrusted to Proposer pursuant to the Proposal submitted for consideration.
- I hereby affirm that neither I, nor any officer, manager, or owner of Proposer has relationships of an official, professional, financial, or personal nature that may cause Proposer to limit the extent of inquiry, to limit disclosure, or fail to objectively complete its assignments in any way. Examples of **potential conflicts** include, but are not limited to:
  - A family member employed by a firm competing in a selection;
  - Ownership (through stock shares, etc.) in a competing firm;
  - A family member or an employee providing sub-contracted services to one of the competing firms (whether on this project or not);
  - A family member or an employee currently in discussions with a competing firm concerning future employment;
  - Any other circumstance where success of a competing firm would result in a personal benefit to Proposer or, if known by others, would give the appearance of such benefit.
- I further affirm that no other relationship or bias exists that will impair or restrict Proposer's independence in performing all assigned responsibilities.
- It is the responsibility of the Proposer to ensure that they are not or will not become involved in a conflict of interest situation or activities relevant to this project. If during the project a potential conflict arises, it must be immediately disclosed in writing to City.

In submitting a Proposal to the City of El Monte, I hereby certify that neither Proposer nor any person who is an officer of, in a managing position with, or has an ownership interest in Proposer has a real or potential conflict of interest as described, above.

**I declare under penalty of perjury that the foregoing is true and correct.**

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

by \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)



**CONFLICT OF INTEREST DISCLOSURE**

*Please describe any real or potential conflict of interest (if any):*

[Empty box for conflict of interest disclosure]

*Attach additional sheets, if necessary.*

DECLARATION

I, \_\_\_\_\_, the \_\_\_\_\_  
(Print Name) (Title with Proposing Entity)

of \_\_\_\_\_ (hereinafter, "Proposer")  
(Print Name of Proposing Entity)

declare under penalty of perjury that the above information is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_  
(month and year) (city and state)

by \_\_\_\_\_  
(Signature of Person Responsible for Submitting Proposal on behalf of Proposer)

**[END OF DOCUMENT]**